

ACART – BEA 9.0 Compliance

The ACART™ tool provides a method for assessing BEA compliance. Any program subject to the IRB certification process may find ACART™ capabilities useful in satisfying the Requirements of this document. ACART™ may be accessed at <https://acart.bta.mil>. Instructions for registering a candidate program and to request user access are located at this site.

ACART™ Process for BEA Compliance:

1. **Scope** relevant CBMs for the candidate system. It is recommended that you select all CBMs, unless you are intimately familiar with the BEA and are certain about relevant Operational Activities. ACART uses the selected CBMs to identify Operational Activities for the assessment.
2. **Scope** relevant leaf-level **Operational Activities** for the candidate system. Leaf-level activities are identified as “T” (“True”) in the Operational Activities Leaf-Level field. Applicable Operational Activities must have a definition that includes all or part of the candidate system, and does not explicitly exclude the system. In addition, candidate system Operational Activities must produce at least one Information Exchange related to the BEA Operational Activity.
3. **Scope** relevant **Business Processes** linked to the in-scope Operational Activities.
4. **Assert** that the candidate system complies with process related **Defense Financial Management Information Guidance Statements (DFMIGs)**.
 - a. If the **DFMIG** is within scope, check the box on the left of the ACART form, select a value from the Compliance Drop-Down, and provide comments as needed. If the value is Planned Compliant, enter an Estimated Compliance Date.
 - b. **Compliance Guidance:** When asserting compliance to the Guidance Statement, the user is asserting that within the context of the Process, the system adheres to the Guidance Statement definition of the BEA.

As needed, identify the Business Processes related to the DFMIG by selecting the “Show Predecessor” option.

5. **Assert** that the candidate system configuration complies with the process related **Laws, Regulations and Policies (LRPs)**.
 - a. If the **LRP** is within scope, check the box on the left of the ACART form, select a value from the Compliance Drop-Down, and provide comments as needed. If the assertion is Planned Compliance enter an Estimated Compliance Date.
 - b. **Compliance Guidance:** When asserting compliance to the Process-related LRPs, the user is asserting that within the context of the Process, the system adheres to the LRP description designated by the BEA.

As needed, identify the Business Processes related to the LRP by selecting the “Show Predecessors” option.

6. **Assert** that the candidate system complies with process related **Business Rules**.
 - a. If the **Business Rule** is within scope, check the box on the left of the ACART form, select a value from the Compliance Drop-Down, and provide comments as needed. If the assertion is Planned Compliant, enter an Estimated Compliance Date.
 - b. **Compliance Guidance:** When asserting compliance to the Business Rules, the user is asserting that within the context of the Process, the system adheres to the Business Rule definition of the BEA.

As needed, identify the Business Processes related to the Business Rules by selecting the “Show Predecessor”.

7. **Scope** relevant **Information Exchanges** linked to the in-scope Operational Activities selected in paragraph (2) above.
8. **Assert** compliance to the **Data Attributes** within the Information Exchange.

The candidate **system Data Attribute Name** need not be identical to the **BEA Data Attribute Name** for the attribute to be BEA Compliant. However, the candidate system Attribute Data Domain and the BEA Data Attribute Data Domain (data type, length and permitted values (if specified)) must be equivalent.

- a. If the Data Attribute is within scope, check the box on the left of the ACART form, select a value from the Compliance Drop-Down, and provide comments as needed. If the assertion is Planned Compliant enter an Estimated Compliance Date.

Note that long lists of Permitted Values may be abbreviated and actually be examples. There is no issue asserting compliance to these.

As needed, identify the Information Exchanges related to the Data Attributes by selecting the “Show Predecessor” option.

9. **Assert** that the candidate system configuration complies with the **Data Attribute Business Rules**.
 - a. If the Business Rule is within scope, check the box on the left of the ACART form, select a value from the Compliance Drop-Down, and provide comments as needed. If the assertion is Planned Compliance enter an Estimated Compliance Date.
 - b. **Compliance Guidance:** When asserting compliance to Data Attribute Business Rules the user is asserting that within the context of the Information Exchange the system adheres to the Business Rule definition specified by the BEA.

As needed, identify the Data Attributes related to the Business Rules by selecting the “Show Predecessor” option.

10. **Assert** compliance with the **SFIS Checklist**
 - a. Relevant areas of the checklist shall be determined by identifying the Category(ies) of the candidate system by checking the box to the left of the ACART form.
 - b. Based on the selected Category(ies) **Assert** whether the candidate system adheres to the requirements of the checklist.
 - c. **Scope and Assert** that the system complies with the relevant Standards of the **SFIS Checklist**.

11. When you have completed Scoping and Asserting, review the results by Exporting ACART web pages to EXCEL spreadsheets. Review the data, and correct Scoping and Assertions as appropriate. Review ACART Charts that show levels of BEA Compliance, and determine the BEA Compliance of the candidate system.
12. A member of the system program staff will mark the assessment as **Complete** by **Locking** the assessment before notifying the Pre-Certification Authority of the systems BEA Compliance/Non-Compliance.